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**UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
13943

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTSBox Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SYSTEM AND APPARATUS FOR INSERTING ELECTRONIC WATERMARK DATA

and invented by:

Nobuyuki Tanaka

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

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Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 39 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets 10
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
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- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
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5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
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incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
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Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
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- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL680252191US

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15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)

- _____

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

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Fee Calculation and Transmittal

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Indep. Claims	6	- 3 =	3	x \$80.00	\$240.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
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SYSTEM AND APPARATUS FOR INSERTING ELECTRONIC WATERMARK DATA

BACKGROUND OF THE INVENTION

5 The present invention relates to a digital image processing technique. More particularly, the present invention relates to a technique of inserting identification data (electronic watermark data) having special information into digital images.

10 Recently, illegal copies of digital images have raised troublesome questions. In order to prevent such illegal replication, the system has been considered that encrypts digital image data and allows only the reproduction system with a valid secret decryption key to reproduce encrypted
15 digital image data.

 However, after the encryption is once decoded, this system cannot prevent subsequent replication. In order to prevent digital images from being illegally used or replicated, the method has been considered of directly
20 burying special information (hereinafter referred to as "electronic watermark data") in digital images.

 Two types of data including visible electronic watermark data and invisible electronic watermark data are considered as electronic watermark data for digital images.
25 The visible electronic watermark data, which contains

special characters or symbols combined with an image, can be visually sensed. This electronic watermark data may degrade the image quality but has the advantage of visually warning users to prevent misappropriation of digital images.

An example of burying such visible electronic watermark data is disclosed in JP-A-241403/1996. In this method, only the luminance of pixels corresponding to opaque portions of electronic watermark data is varied so that visible electronic watermark data is synthesized with the original image without changing the color components. The scaling value of varying the pixel luminance component depends on color components, random numbers, pixel values of electronic watermark data, or others.

The invisible electronic watermark data is buried in an image, in consideration of degradation of image quality. Since the image quality degradation is not substantially negligible, the invisible watermark data cannot be visually recognized. However, if special information, which can be recognized by an author, is buried as the electronic watermark data, the author can specify by detecting the electronic watermark data even after illegal replication.

Moreover, information about replication disapproval may be buried in an image. In such a case, when the

reproduction unit, for example, detects the replication disapproval information, the reproduction by a VTR or the equivalent can be restricted by informing the user that the detected information is reproduction prohibited data, or by operating the replication preventing mechanism within the reproduction unit.

Prior various methods have been proposed to bury invisible electronic watermark data into digital images.

For example, one approach is to bury special information as electronic watermark data in portions not adversely affecting the image quality, such as LSBs of pixel data. However, this method may remove the electronic watermark data from images. For example, information regarding LSBs of pixels will be missed using a low-pass filter. The image compression process discards the volume of information not adversely affecting the image quality, thus reducing the volume of data. This means that the electronic watermark data is lost. As a result, the problem is that it is difficult to re-detect the electronic watermark data.

JP-A-No. 315131/1994 discloses as another example the method of burying specific information by using the correlation between continuous frame images and detecting the area where degradation in image quality does not occur even when substitution is performed in peripheral areas

upon reproduction. Fig. 9 shows the method of inserting and detecting electronic watermark data, disclosed in the above publication. According to this method, an identification data buried area is specified signal dropout portion and conversion information upon reproduction and then the corresponding portion is corrected to reconstitute an image.

As further another example, JP-A-No. 30466/1993 discloses the method of converting the frequency of a video signal and then burying information with signals of frequencies lower than the frequency band of the converted video signal. Fig. 10 shows the electronic watermark detecting system, disclosed in the above publication. In this method, a broad band-pass filter extracts the original video signal while a low-pass filter extracts the buried identification data.

In another example, the method of frequency-converting images and then burying electronic watermark data into portions with strong frequency components of a video signal after the frequency conversion (see "NIKKEI Electronics", 1996, 4.22 (no. 660), page 13).

In this method, since electronic watermark data is buried into areas with strong frequency components, the electronic watermark data is not lost through the image processing such as compression process or filtering.

Moreover, using the random numbers with a normal distribution as electronic watermark data makes it difficult to prevent interference between electronic watermark data and to destroy the electronic watermark data without significantly affecting the entire image.

In the electronic watermark data burying method, the original image is first transformed into frequency components by, for example, the DCT (discrete cosine transform). n sets of data with high values over high frequency range are selected as $f(1), f(2), \dots, f(n)$. The electronic watermark data sets, $w(1), w(2), \dots, w(n)$, are selected from a normal distribution having an average of 0 and a dispersion of 1. The formula, $F(i) = f(i) + \alpha \times |f(i)| \times w(i)$, where α is a scaling factor, is calculated to obtain respective (i) s. The frequency component in which $f(i)$ is substituted for $F(i)$ undergoes IDCT (inverse discrete cosine transform) so that the image in which the electronic watermark data is buried is obtained.

Moreover, the electronic watermark data is detected according to the following method. In this detection method, both the original image and electronic watermark data candidate $w(i)$ (where $i = 1, 2, \dots, n$) must be known.

First, the image containing electronic watermark data is converted into frequency components through, for example, DCT. Values corresponding to factor values, $f(1), f(2), \dots$,

$f(n)$, each containing an electronic watermark, are set as $F(1), F(2), \dots, F(n)$, respectively. The formula, $W(i) = (F(i) - f(i)) / f(i)$, is solved using $f(i)$ and $F(i)$ to extract the electronic watermark data $W(i)$.

5 Next, the statistical similarity C between $w(i)$ and $W(i)$ is obtained by the following formula including a vector inner product.

$$C = W \times w / (WD \times wD)$$

10

where $W = (W(1), W(2), \dots, W(n))$; $w = (w(1), w(2), \dots, w(n))$; WD = the absolute value of a vector W ; and wD = the absolute value of a vector w . When the statistical similarity C is more than a specific value, it is decided

15 that the electronic watermark data is in a buried state.

Accordingly, by burying the electronic watermark data into an image through the above-mentioned method, an author holding an original image effectively detects digital image data suspected as an illegal replicate.

20 Since the above-mentioned method requires the original image, the author, that is, an original image owner, can detect image data doubted as an illegal replicate. However, the reproduction unit at each terminal cannot detect electronic watermark data because of the absence of the

25 original image.

To overcome that problem, an improvement of the above-mentioned method for the terminal processing, particularly, the MPEG system, has been proposed. In this improved method, the original image is divided into blocks each having 8 pixels \times 8 pixels. Electronic watermark data is buried or extracted in block process units.

In the electronic watermark data burying process, AC frequency components are set as $f(1)$, $f(2)$, ..., $f(n)$ in a frequency increasing order over a frequency range after the discrete cosine transformation in the MPEG encoding process. The electronic watermark data, $w(1)$, $w(2)$, ..., $w(n)$ are selected from the normal distribution having an average of 0 and a dispersion of 1. In order to obtain respective (i)s, the formula of $F(i) = f(i) + \alpha \times \text{avg}(f(i)) \times w(i)$ is calculated, where α is a scaling factor and $\text{avg}(f(i))$ is a partial average obtained by averaging the absolute values at three points adjacent to $f(i)$. The successive steps in the MPEG encoding process is performed by substituting $f(i)$ with $F(i)$.

Electronic watermark data is detected according to the following method. This method does not require any original image. It is merely required that electronic watermark data candidate $w(i)$ (where $i = 1, 2, \dots, n$) is known.

Over the block frequency region after inverse

quantization of the MPEG expanding process, the frequency components are set as $F(1)$, $F(2)$, ..., $F(n)$ in a frequency increasing order. The average of the absolute values of three points adjacent to $F(i)$ is set as a partial average $\text{avg}(F(i))$. The electronic watermark data $W(i)$ is obtained by calculating the following formula.

$$W(i) = F(i) / \text{avg}(F(i))$$

Moreover, the sum $WF(i)$ of $w(i)$ for one frame is calculated for each (i) .

Next the statistical similarity C between $w(i)$ and $WF(i)$ is obtained by calculating the following formula including an vector inner product:

$$C = WF \times w / (WFD \times WD)$$

where $W = (WF(1), WF(2), \dots, WF(n))$; $w = (w(1), w(2), \dots, w(n))$; WFD = the absolute value of a vector WF ; and WD = the absolute value of a vector w . When the statistical similarity C is more than a specific value, it is decided that the corresponding electronic watermark data is in a buried state.

However, the above-mentioned prior-art techniques have the following disadvantages. In the example disclosed in

JP-A-No. 315131/1994, because the electronic watermark information is not buried into all frames, frames with no buried electronic watermarks cannot be prevented from illegal copying. Moreover, since it is assumed that successive frames are static images and not changed, the area where electronic watermark data is buried cannot be specified so that electronic watermark data cannot be buried in fast moving images.

In the example disclosed in JP-A-No. 30466/1993, since electronic watermark data is buried in the frequency area lower than that after frequency conversion of an image, the high-frequency pass filter can easily remove the electronic watermark data.

In the example of burying the electronic watermark into the portion with strong frequency component after frequency conversion, the above-mentioned problems do not occur. However, since the common electronic watermark data is buried in any scene, the electronic watermark is easily viewed on the screen with small motion like a static image if the electronic watermark is emphasized to improve the detection efficiency. As a result, the image quality is deteriorated. Further problem is that the detection efficiency is reduced if the electronic watermark is weakly inserted to prevent deterioration in image quality.

SUMMARY OF THE INVENTION

The present invention is made to solve the above-mentioned problems.

Moreover, the objective of the invention is to provide
5 an electronic watermark data inserting system that can realize a high detection efficiency without deteriorating images.

The above-mentioned problem is solved by a system for inserting an electronic watermark data comprising: DCT
10 converter for extracting a block of $k \times k$ pixels from an original image, subjecting said block to DCT (discrete cosine transform), and then outputting data after the DCT conversion; quantizer for quantizing DCT coefficients output from said DCT converter; movement decision means
15 for deciding the magnitude of a movement based on a generation amount from said DCT converter; picture-type decision means for deciding a picture type; an electronic watermark data table for storing first to j -th electronic watermark data and electronic watermark data of $(j \times 2)$
20 types having said movement, for each picture type; electronic watermark data selector for selecting said electronic watermark data of one type according to said picture type and said movement; and electronic watermark data inserter means for inserting said selected electronic
25 watermark data into data after said DCT conversion;

whereby the magnitude of a movement is decided by obtaining a difference between a DCT coefficient of a front frame and a DCT coefficient of a rear frame and electronic watermark data with a suitable strength is inserted according to the magnitude of said movement.

Moreover, the above-mentioned problem is solved by a system for inserting an electronic watermark data comprising: DCT converter for extracting a block of $k \times k$ pixels from an original image, subjecting said block to DCT (discrete cosine transform), and then outputting data after the DCT conversion; quantizer means for quantizing DCT coefficients output from said DCT converter means; movement decision means for deciding the magnitude of a movement based on a generation amount from said DCT converter means; picture-type decision means for deciding a picture type; original electronic watermark data memory for storing original electronic watermark data; j first multipliers each for subjecting said original electronic watermark to multiplication data according to said picture type; an electronic watermark data table for storing electronic watermark data of j types ranging from the first electronic watermark data to j -th electronic watermark data being outputs from said j multipliers; electronic watermark data selector for selecting electronic watermark data of one type among said

electronic watermark data of j types; a second multiplier for subjecting said selected electronic watermark data to multiplication according to the magnitude of a movement obtained based on a difference between said DCT

5 coefficients; and electronic watermark data insertion means for inserting electronic watermark data obtained through multiplication by said second multiplier into data after said DCT conversion; whereby the magnitude of a movement is decided by obtaining a difference between a
10 DCT coefficient of a front frame and a DCT coefficient of a rear frame and electronic watermark data with a suitable strength is inserted according to the magnitude of said movement.

Moreover, the above-mentioned problem is solved by an
15 apparatus for inserting an electronic watermark data comprising: a DCT converter for extracting a block of $k \times k$ pixels from an original image, subjecting said block to DCT (discrete cosine transform), and then outputting data after the DCT conversion; a quantizer for quantizing DCT
20 coefficients output from said DCT converter; a movement decision unit for deciding the magnitude of a movement based on a generation amount from said DCT converter; a picture-type decision unit for deciding a picture type; an electronic watermark data table for storing first to j -th
25 electronic watermark data and electronic watermark data of

($j \times 2$) types having said movement, for each picture type; an electronic watermark data selector for selecting said electronic watermark data of one type according to said picture type and said movement; and an electronic watermark data inserter for inserting said selected electronic watermark data into data after said DCT conversion; an inverse quantizer for inverse-quantizing a block of $k \times k$ pixels in which said electronic watermark data is inserted; and an IDCT covnerter for performing an IDCT (discrete cosine transform) of a block of $k \times k$ pixels in which said electronic watermark data inverse-quantized is inserted.

Moreover, the above-mentioned problem is solved by an apparatus for inserting an electronic watermark data comprising: a DCT converter for extracting a block of $k \times k$ pixels from an original image, subjecting said block to DCT (discrete cosine transform), and then outputting data after the DCT conversion; a quantizer for quantizing DCT coefficients output from said DCT converter; a movement decision unit for deciding the magnitude of a movement based on a generation amount from said DCT converter; a picture-type decision unit for deciding a picture type; an electronic watermark data table for storing first to j -th electronic watermark data and electronic watermark data of ($j \times 2$) types having said movement, for each picture

type; an electronic watermark data selector for selecting said electronic watermark data of one type according to said picture type and said movement; and an electronic watermark data inserter for inserting said selected

5 electronic watermark data into data after said DCT conversion; and a Huffman encoder for encoding data after insertion of said electronic watermark data.

Moreover, the above-mentioned problem is solved by an apparatus for inserting an electronic watermark data

10 comprising: a DCT converter for extracting a block of $k \times k$ pixels from an original image, subjecting said block to DCT (discrete cosine transform), and then outputting data after the DCT conversion; a quantizer for quantizing DCT coefficients output from said DCT converter; a movement

15 decision unit for deciding the magnitude of a movement based on a generation amount from said DCT converter; a picture-type decision unit for deciding a picture type; original electronic watermark data storage means for storing original electronic watermark data; j first

20 multipliers each for subjecting said original electronic watermark to multiplication data according to said picture type; an electronic watermark data table for storing electronic watermark data of j types ranging from the first electronic watermark data to j -th electronic

25 watermark data being outputs from said j multipliers; an

electronic watermark data selector for selecting electronic watermark data of one type among said electronic watermark data of j types; a second multiplier for subjecting said selected electronic watermark data to multiplication according to the magnitude of a movement obtained based on a difference between said DCT coefficients; and an electronic watermark data inserter for inserting electronic watermark data obtained through multiplication by said second multiplier into data after said DCT conversion; an inverse quantizer for inverse-quantizing a block of $k \times k$ pixels in which said electronic watermark data is inserted; and an IDCT converter for performing an IDCT (discrete cosine transform).

Moreover, the above-mentioned problem is solved by an apparatus for decoding an electronic watermark data comprising: a decoder for extracting and decoding block data of a size of $k \times k$ pixels decoded by the electronic watermark data inserter; an IDCT converter for IDCT converting said block data decoded; an electronic watermark data extractor for obtaining the number of electronic watermark data to be extracted based on information on the location where said block data of a $k \times k$ pixel size is extracted and then extracting electronic watermark data from data after IDCT conversion

output from said IDCT converter; extracted data storage means for storing data extracted by said electronic watermark data extractor; and an electronic watermark data detector for extracting electronic watermark data at a corresponding location by means of said extracted data storage means and said electronic watermark table after said extracted data storage means has stored extracted data for one screen and then calculating a statistical similarity, thus outputting a calculation result.

According to the present invention, the electronic watermark insertion device is characterized by inserting electronic watermark data with a suitable strength based on the difference between the generation amount of coefficient (hereinafter referred to as a DCT coefficient) generation amount after the DCT (discrete cosine transform) in the front picture and the generation amount of the coefficient (hereinafter referred to as a DCT coefficient) after the DCT (discrete cosine transform) in the rear picture. In other words, the difference between the DCT coefficient generation amount of the front picture and the DCT coefficient generation amount of the rear picture is first calculated. Then, electronic watermark data with a suitable strength is inserted in the difference. Thus, the electronic watermark inserting system with high detection efficiency can be realized

without leading to deterioration in image quality.

Specifically, the electronic watermark data inserting system of the present invention includes a movement decision unit, a picture-type decision section, an
5 electronic watermark data selector, an electronic watermark data table, and an electronic watermark data inserter.

The movement decision unit calculates the difference between the DCT coefficient generated amount $V(t)$ DCT-converted at the time t and the DCT coefficient generated amount $V(t+1)$ DCT-converted at the time $(t+1)$ and then
10 decides the magnitude of a movement between pictures based on the difference.

The picture-type decision section decides one of picture
15 types including, for example, intra-encoded image (or Intra-Picture or hereinafter referred to as I-picture), predictive encoded image (Predictive-Picture or hereinafter referred to as P-picture), bidirectional predictive encoded image (Bidirectional-predictive-picture
20 or hereinafter referred to as B-picture), and the like.

The electronic watermark data selector selects electronic watermark data according to a decision result obtained based on the picture type and the degree of movement on the electronic watermark data table and then
25 outputs it to the electronic watermark data inserter. Thus,

the electronic watermark data inserter inserts adaptive electronic watermark data.

The decision result obtained based on the degree of movement has the following meaning. That is, the electronic watermark data is strongly inserted because a human's eye is not sensitive to pictures with large (violent) movement. In contrast, the electronic watermark data is weakly inserted because a human's eye is sensitive to pictures with small movement (like static images).

Particularly, since the DCT coefficient of the P or B picture becomes small on pictures with small movement, even inserting electronic watermark data strongly in both the frames is not considerably reflected onto the detection rate. Accordingly, it is desirable to weaken the strength of electronic watermark data to the P picture and the B picture on pictures with small movement.

BRIEF DESCRIPTION OF THE INVENTION

This and other objects, features, and advantages of the present invention will become more apparent upon a reading of the following detailed description and drawings, in which:

Fig. 1 is a system block diagram illustrating an electronic watermark data inserting system according to an embodiment of the present;

Fig. 2 is a system block diagram illustrating an electronic watermark data detecting system according to an embodiment of the present;

Fig. 3 is a chart illustrating the configuration of an electronic watermark data inserting table and the selection method thereof according to the present invention;

Fig. 4 is a diagram illustrating an image data configuration according to the MPEG standard encoding scheme;

Fig. 5 is a diagram explaining the encoding of each frame or field information;

Fig. 6 is a diagram explaining the operation of each picture type;

Fig. 7 is a diagram explaining DCT conversion;

Fig. 8 is a system block diagram illustrating an electronic watermark data inserting system according to another embodiment of the present invention;

Fig. 9 is a diagram illustrating a prior art example and Fig. 10 is a diagram illustrating a prior art example.

DESCRIPTION OF THE EMBODIMENTS

Fig. 1 is a system block diagram illustrating electronic watermark data inserting device according to an embodiment of the present invention.

According to the present invention, an electronic watermark data inserting device includes a DCT converter 103 for extracting a block 102 of $k \times k$ pixels from an original image 101, subjecting the block to DCT (discrete cosine transform), and then outputting data after the DCT conversion; a quantizer 104 for quantizing DCT coefficients; a movement decision unit 106 for deciding the magnitude of a movement based on a DCT coefficient generation amount; a picture-type decision unit 107 for deciding a picture type; an electronic watermark data table 109 for storing electronic watermark data of ($j \times 2$) types ranging from the first electronic watermark data to the j -th electronic watermark data, calculated to a suitable values based on each picture type and the magnitude of movement; an electronic watermark data selector 108 for selecting the electronic watermark data of one type according to the picture type and the magnitude of movement; an electronic watermark data inserter 105 for inserting the electronic watermark data into data after the DCT conversion; an inverse quantizer 110 for inverse-quantizing a block of $k \times k$ pixels in which the electronic watermark data is inserted; an IDCT converter 111 for performing an IDCT (discrete cosine transform); and a Huffman encoder 114 for performing an encoding process.

Fig. 2 is a system block diagram illustrating an electronic watermark data detector according to the embodiment of the present invention.

An electronic watermark data detecting device of the present invention includes a decoder 202 for decoding MPEG data; an IDCT converter 203 for performing IDCT (inverse cosine transform); an electronic watermark data extractor 204 for extracting electronic watermark data from the frequency data for a $k \times k$ pixel size output from the IDCT converter 203 and then storing the extracted data at a predetermined location of the extracted data storage area 205; extracted data storage area 205 for storing the extracted data; and an electronic watermark data detector 204 for calculating a statistical similarity between the extracted data and the electronic watermark data based on the m -th ($m = 1, 2, \dots, j$) data and the extracted data extracted from the electronic watermark data table 208 by means of the electronic watermark data selector 207.

In the electronic watermark data inserting and detecting system of the present invention, the content of the electronic watermark data table with a number on the insertion side must match the content of the electronic watermark data table with the correspondence number on the detection side have correspondence numbers. Moreover, the content of the electronic watermark data location table

with a number on the insertion side must match the content of the electronic watermark data location table with the correspondence number on the detection side have correspondence numbers. That is, the content of the m-th (m = 1, 2, ..., j) electronic watermark data table on the insertion side must match the content of the m-th (m = 1, 2, ..., j) electronic watermark data table on the detection side.

Fig. 3 is a chart illustrating the configuration and the electronic watermark data table 109 and the method of selecting the same, according to the present invention.

The original electronic watermark data W is selected as the strengths (W(I), W(P) and W(B)) of electronic watermark data according to picture types. Thereafter, the strength of motion is decided based on the DCT coefficient generation amount. The strengths (W(I) and W(I')) of electronic watermark data are further selected according to the strength of motion. Thus, the electronic watermark data table 109 shown in Fig. 1 is created by tabulating electronic watermark data strengths into six patterns.

Nest, the structure of image data will be explained below using Figs. 4 and 5. The image data according to the MPEG standards will be explained here. The image data in accordance with the MPEG standard encoding scheme has the structure shown in Fig. 4. Information about each frame or

field of an image is described on picture layers following the picture start code (PSC).

Each frame or field information is encoded in three-type picture formats including I-picture, P picture and B picture. The P picture and the B picture, as shown in Fig. 5, are encoded as image information a difference value only to other image spaced in time as a reference image.

Each picture is sub-divided into blocks, is subjected to a DCT (discrete cosine transform) in block units, is quantized with a suitable quantization coefficient, and thus is Huffman encoded. Field information of each frame lies in a macroblock layer (MB) following the slice layer following the slice start code (SSC). The field information is shown by six block layers including four block layers representing luminance information Y and two block layers representing color difference information Cb and color difference information Cr.

The DCT coefficient is scanned in numerical order as shown in Fig. 7 and then is converted into 64 one-dimensional sequences. The location (1) of Fig. 7 represents a direct current (DC) component of a DCT conversion area. As the axis is moved from the location (1) in the right direction, the horizontal DCT conversion area becomes high. As the axis is moved from the location (1) downward, the vertical DCT conversion area becomes

high. The scanning is first performed from the location (1) of the upper left corner in the order of 2, 3, ..., 64. That is, a zigzag scanning is performed slantingly from the low frequency area of the DCT conversion area.

5 Next, the operation of the electronic watermark data inserting system will be explained by referring to Fig. 1.

 The DCT converter 103 extracts block data 102 of a 8×8 pixel size from the original image 101 and subjects it to DCT conversion. Next, the quantizer 104 quantizes the
10 DCT coefficient. The movement decision unit 106 calculates the difference between $V(t)$ DCT coefficients obtained from the DCT converter 103 and $V(t-1)$ DCT coefficients of the front frame previously held. If the difference value exceeds a certain threshold value, the movement decision
15 unit 106 decides that the movement is large. If the difference value less than a certain threshold value, the movement decision unit 106 decides that the movement is small.

 The electronic watermark data inserter 105 extracts the
20 electronic watermark data $W(j)$ which matches with the location where the 8×8 block data is extracted, picture type and the magnitude of movement, from the electronic watermark data table 109 and then inserts it into the data after quantization output from the electronic quantizer
25 104. Provided that the original electronic watermark data

is $W(e)$, the electronic watermark data $W(j)$ can be expressed by the formula $W(j) = k \times W(e)$, where k is a coefficient having the magnitude of movement and is provided for each picture type.

5 Thereafter, inverse quantization is performed with the inverse quantizer 110. The IDCT converter 111 performs IDCT conversion of data output from the inverse quantizer 110. Data is stored into the location 113 within the image storage area 112 to which electronic watermark data is
10 inserted. The location 113 is the same as the location at which the DCT decision unit 103 has extracted 8×8 block data.

 The above operation is performed to all areas of one screen. Electronic watermark is inserted in units of a 8×8 block into all areas of one screen. When compressed
15 data is created, the Huffman encoder 114 encodes output data of the electronic watermark data inserter 105 and outputs it as the compressed data 115.

 Next, the operation of the electronic watermark data
20 detection system will be explained with reference to Fig. 2. The decoder 202 decodes block data of a 8×8 pixel size output from the compressed data 201. Thereafter, the IDCT conversion 203 performs IDCT conversion. The electronic watermark data extractor 204 captures the
25 number of electronic watermark data to be extracted from

the electronic watermark data table 208, based on location information of the 8×8 block data extracted. The electronic watermark data extractor 204 further extracts electronic watermark data from data after IDCT conversion output from the IDCT converter 203 and then stores it into the extracted data storage area 205. The above-mentioned operation is performed to all the 8×8 size blocks for one screen.

After the extracted data for one screen is stored into the extracted data storage area 205, the electronic watermark data detector 206 extracts electronic watermark data from the extracted data storage area 205 and the electronic watermark data table 208. Thus, the electronic watermark data detector 206 calculates the statistical similarity and then outputs it as the detection output 209.

Next, the operation of each picture type will be explained with reference to Fig. 6. Referring to Fig. 5, when electronic watermark data is buried in the I-picture, the DCT component changes from I to I+W(I). When electronic watermark data is buried in the B-picture, the DCT component changes from B to B+W(B). When electronic watermark data is buried in the P-picture, the DCT component changes from P to P+W(P). I represents the DCT component of I-picture. B represents the DCT component of B picture. P represents the DCT component of P picture.

W(I) represents a DCT component buried in I-picture. W(B) represents a DCT component buried in B-picture. W(P) represents a DCT component buried in P-picture.

Fig. 8 is a system block diagram illustrating the system and device of inserting electronic watermark data according to another embodiment of the present invention.

In this embodiment, the electronic watermark data inserting system includes a DCT converter 103 for extracting a block of $k \times k$ pixels from an original image, subjecting the block to a DCT (discrete cosine transform), and then outputting data after the DCT conversion; a quantizer 104 for quantizing DCT coefficients; a movement decision unit 106 for deciding a movement based on a difference between a DCT coefficient generation amount $V(t)$ obtained by the DCT converter and a DCT coefficient generation amount $V(t-1)$ of the front frame previously held; a picture type decision section 107 for deciding a picture type; original electronic watermark data storage means 120 for storing original electronic watermark data; j multipliers (the first multiplier 121, the second multiplier 122, ..., the j -th multiplier 123) each for subjecting said original electronic watermark to multiplication according to said picture type; an electronic watermark data table 109 for storing electronic watermark data of j types ranging from the first

electronic watermark data to j -th electronic watermark
 data; an electronic watermark data selector 108 for
 selecting electronic watermark data of one type of
 electronic watermark data according to locations of a $8 \times$
 5 8 pixel size block; a multiplier 124 for subjecting
 electronic watermark data to multiplication according to a
 movement decided by the movement decision unit; an
 electronic watermark data inserter 105 for inserting
 electronic watermark data into data after the DCT
 10 conversion; an inverse quantizer 110 for inverse-
 quantizing a $k \times k$ size block in which the electronic
 watermark data is inserted; and an IDCT converter 111 for
 performing IDCT (discrete cosine transform).

Next, the operation of the electronic watermark data
 15 inserting system will be explained below. The DCT
 converter 103 extracts the block data 102 of a 8×8
 pixel size from the original image 101 and then subjects
 it to DCT conversion. The quantizer 104 quantizes the DCT
 coefficients. The J multipliers 121 to 123 performs
 20 multiplication of the original electronic watermark data
 according to picture types and then stores j electronic
 watermark data sets into the electronic watermark data
 table 109.

In this case, multiplication factors of j multipliers
 25 may be decided previously or may be rewritten during

operation. When the multiplication factor is 1, the corresponding multiplier may be omitted.

The electronic watermark data selector 108 extracts from the electronic watermark data table 109 electronic watermark data matching the location of each 8×8 pixel size block. The multiplier 124 multiplies the extracted electronic watermark data by electronic watermark data selected according to the magnitude of a movement worked out by the movement decision unit 106.

The multiplication factor of the multiplier 124 may be previously decided or may be arbitrarily rewritten during operation. When the multiplication factor is 1, the multiplier may be omitted. The electronic watermark data inserter 105 inserts the data after quantization output from the quantizer 104 into the above-obtained electronic watermark data.

The IDCT converter 111 IDCT-converts data output from the inverse quantizer 110 and then stores the data to the same location 113 as the location where the DCT converter 103 has extracted 8×8 block data within the image storage area 112 in which the electronic watermark data is inserted. The above operation is carried out over the whole of one screen. Electronic watermark data sets are inserted to all the areas in 8×8 block units.

The encoding system according to the MPEG standards has

been explained in the above embodiment. However, other image encoding systems, for example, H.261, employing the DCT can be applied to the present invention.

In the system and device of inserting electronic watermark of the present invention, electronic watermark data with a suitable strength is inserted which is obtained based on a movement (a difference with respect to the front frame) decided from the DCT coefficient generation amount in addition to the picture type. Consequently, the electronic watermark data inserting system can be realized which provides a high detection efficiency without deteriorating the image quality.

What is claimed is:

- 1 A system for inserting an electronic watermark data comprising:

DCT converter for extracting a block of $k \times k$ pixels
5 from an original image, subjecting said block to DCT
(discrete cosine transform), and then outputting data
after the DCT conversion;

quantizer for quantizing DCT coefficients output from
said DCT converter;

- 10 movement decision means for deciding the magnitude of a
movement based on a generation amount from said DCT
converter;

picture-type decision means for deciding a picture
type;

- 15 an electronic watermark data table for storing first to
j-th electronic watermark data and electronic
watermark data of $(j \times 2)$ types having said movement,
for each picture type;

electronic watermark data selector for selecting said
20 electronic watermark data of one type according to
said picture type and said movement; and

electronic watermark data inserter means for inserting
said selected electronic watermark data into data
after said DCT conversion;

- 25 whereby the magnitude of a movement is decided by

obtaining a difference between a DCT coefficient of a front frame and a DCT coefficient of a rear frame and electronic watermark data with a suitable strength is inserted according to the magnitude of said movement.

- 5 2 A system for inserting an electronic watermark data comprising:

 DCT converter for extracting a block of $k \times k$ pixels
 from an original image, subjecting said block to DCT
 (discrete cosine transform), and then outputting data
10 after the DCT conversion;
 quantizer means for quantizing DCT coefficients output
 from said DCT converter means;
 movement decision means for deciding the magnitude of a
 movement based on a generation amount from said DCT
15 converter means;
 picture-type decision means for deciding a picture
 type;
 original electronic watermark data memory for storing
 original electronic watermark data;
20 j first multipliers each for subjecting said original
 electronic watermark to multiplication data according
 to said picture type;
 an electronic watermark data table for storing
 electronic watermark data of j types ranging from the
25 first electronic watermark data to j-th electronic

watermark data being outputs from said j multipliers;
electronic watermark data selector for selecting
electronic watermark data of one type among said
electronic watermark data of j types;

5 a second multiplier for subjecting said selected
electronic watermark data to multiplication according
to the magnitude of a movement obtained based on a
difference between said DCT coefficients; and
electronic watermark data insertion means for inserting
10 electronic watermark data obtained through
multiplication by said second multiplier into data
after said DCT conversion;

whereby the magnitude of a movement is decided by
obtaining a difference between a DCT coefficient of a
15 front frame and a DCT coefficient of a rear frame and
electronic watermark data with a suitable strength is
inserted according to the magnitude of said movement.

3 A Apparatus for inserting an electronic watermark data
comprising:

20 a DCT converter for extracting a block of $k \times k$ pixels
from an original image, subjecting said block to DCT
(discrete cosine transform), and then outputting data
after the DCT conversion;

a quantizer for quantizing DCT coefficients output from
25 said DCT converter;

- a movement decision unit for deciding the magnitude of a movement based on a generation amount from said DCT converter;
- a picture-type decision unit for deciding a picture type;
- 5 an electronic watermark data table for storing first to j-th electronic watermark data and electronic watermark data of $(j \times 2)$ types having said movement, for each picture type;
- 10 an electronic watermark data selector for selecting said electronic watermark data of one type according to said picture type and said movement; and
- an electronic watermark data inserter for inserting said selected electronic watermark data into data
- 15 after said DCT conversion;
- an inverse quantizer for inverse-quantizing a block of $k \times k$ pixels in which said electronic watermark data is inserted; and
- an IDCT covnerter for performing an IDCT (discrete
- 20 cosine transform) of a block of $k \times k$ pixels in which said electronic watermark data inverse-quantized is inserted.
- 4 An apparatus for inserting an electronic watermark data comprising:
- 25 a DCT converter for extracting a block of $k \times k$ pixels

from an original image, subjecting said block to DCT
(discrete cosine transform), and then outputting data
after the DCT conversion;

a quantizer for quantizing DCT coefficients output from
said DCT converter;

a movement decision unit for deciding the magnitude of
a movement based on a generation amount from said DCT
converter;

a picture-type decision unit for deciding a picture
type;

an electronic watermark data table for storing first to
j-th electronic watermark data and electronic
watermark data of $(j \times 2)$ types having said movement,
for each picture type;

an electronic watermark data selector for selecting
said electronic watermark data of one type according
to said picture type and said movement; and

an electronic watermark data inserter for inserting
said selected electronic watermark data into data
after said DCT conversion; and

a Huffman encoder for encoding data after insertion of
said electronic watermark data.

5 An apparatus for inserting an electronic watermark data
comprising:

a DCT converter for extracting a block of $k \times k$ pixels

from an original image, subjecting said block to DCT
(discrete cosine transform), and then outputting data
after the DCT conversion;

5 a quantizer for quantizing DCT coefficients output from
said DCT converter;

a movement decision unit for deciding the magnitude of
a movement based on a generation amount from said DCT
converter;

10 a picture-type decision unit for deciding a picture
type;

original electronic watermark data storage means for
storing original electronic watermark data;

j first multipliers each for subjecting said original
electronic watermark to multiplication data according
15 to said picture type;

an electronic watermark data table for storing
electronic watermark data of j types ranging from the
first electronic watermark data to j-th electronic
watermark data being outputs from said j multipliers;

20 an electronic watermark data selector for selecting
electronic watermark data of one type among said
electronic watermark data of j types;

a second multiplier for subjecting said selected
electronic watermark data to multiplication according
25 to the magnitude of a movement obtained based on a

- difference between said DCT coefficients; and
an electronic watermark data inserter for inserting
electronic watermark data obtained through
multiplication by said second multiplier into data
after said DCT conversion;
- an inverse quantizer for inverse-quantizing a block of
 $k \times k$ pixels in which said electronic watermark data
is inserted; and
an IDCT converter for performing an IDCT (discrete
cosine transform).
- 6 The apparatus for inserting an electronic watermark
data defined in Claim 5, wherein said first multiplier and
said second multiplier are omitted when said
multiplication coefficient is 1.
- 7 An apparatus for decoding an electronic watermark data
comprising:
a decoder for extracting and decoding block data of a
size of $k \times k$ pixels decoded by the electronic
watermark data inserter;
- an IDCT converter for IDCT converting said block data
decoded;
- an electronic watermark data extractor for obtaining
the number of electronic watermark data to be
extracted based on information on the location where
said block data of a $k \times k$ pixel size is extracted

and then extracting electronic watermark data from
data after IDCT conversion output from said IDCT
converter;

extracted data storage means for storing data extracted

5 by said electronic watermark data extractor; and

an electronic watermark data detector for extracting

electronic watermark data at a corresponding location

by means of said extracted data storage means and

said electronic watermark table after said extracted

10 data storage means has stored extracted data for one

screen and then calculating a statistical similarity,

thus outputting a calculation result.

ABSTRACT

The DCT converter 103 extracts block data 102 of a 8×8 pixel size from the original image 101 and then subjects it to DCT conversion. The quantizer 104 quantizes the DCT coefficient. The movement decision unit 106 obtains the difference between the number $V(t)$ of DCT coefficients obtained by the DCT converter 103 and the number $V(t-1)$ of DCT coefficients of the previous frame previously held.

10 The movement decision unit 106 decides the movement as a large value if the difference exceeds a certain threshold value and decides the movement as a small value if the difference is less than the threshold value. The electronic watermark data inserter 105 extracts electronic watermark data $W(j)$ which matches the location where 8×8 block data is extracted from the electronic watermark data table 109, the picture type and the movement and then inserts it into the data after quantization output from the quantizer 104.

FIG. 1

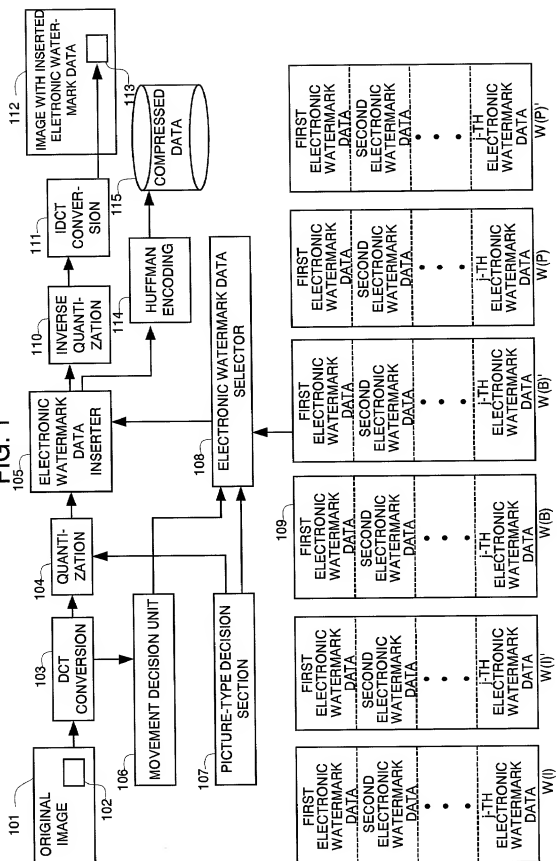


FIG. 2

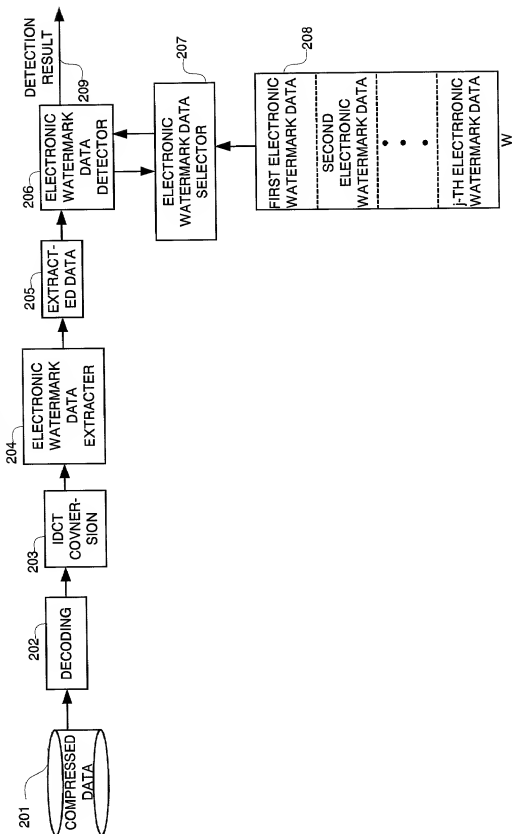


FIG. 3

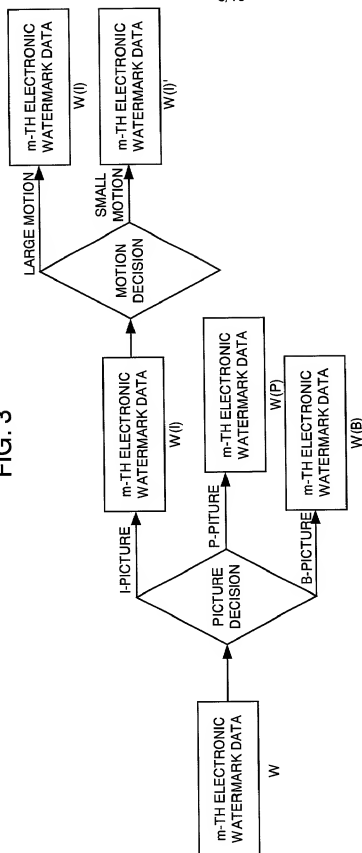


FIG. 4

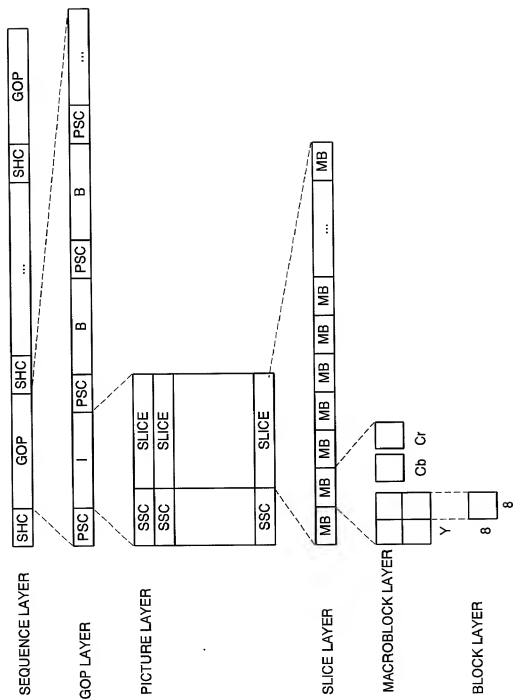


FIG. 5

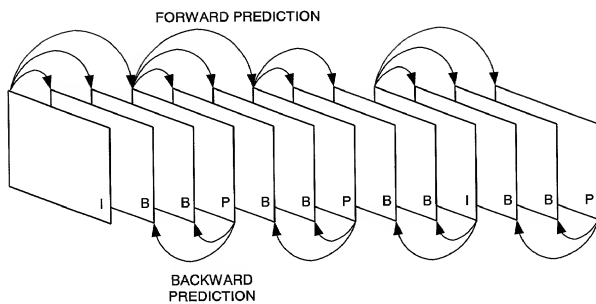


FIG. 6

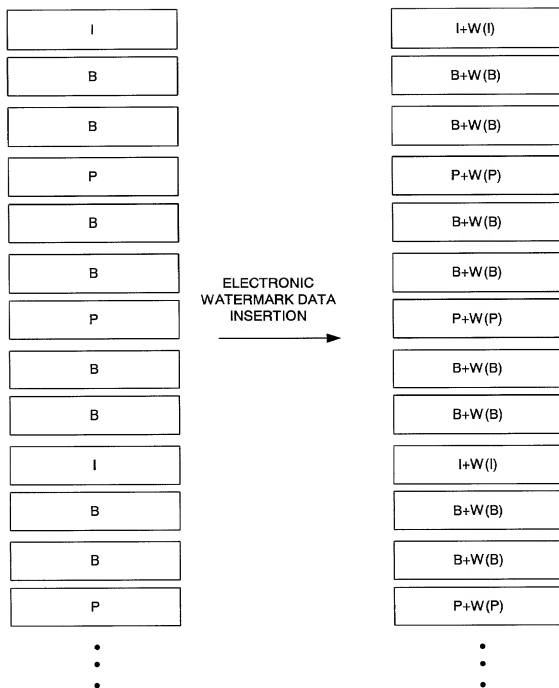


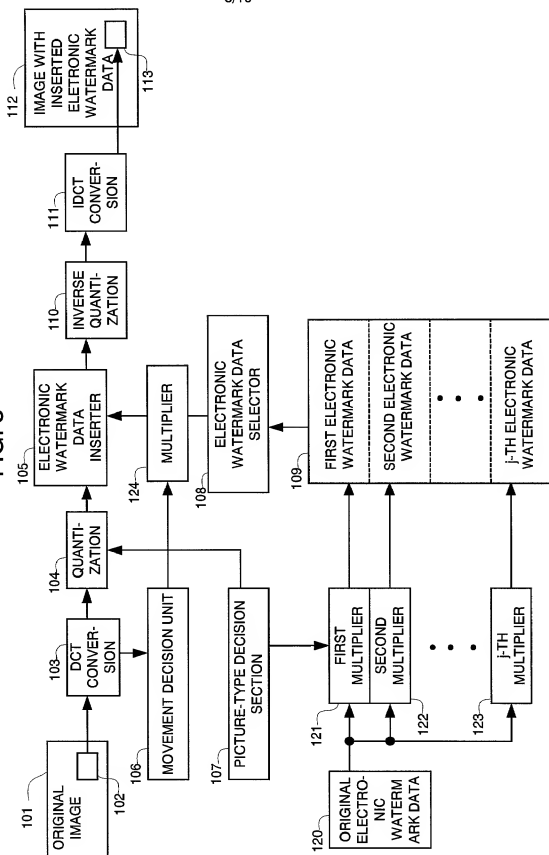
FIG. 7

→ HORIZONTAL SPACE
FREQUENCY f_H

VERTICAL SPACE
FREQUENCY f_V ↓

1	2	6	7	15	16	28	29
3	5	8	14	17	27	30	43
4	9	13	18	26	31	42	44
10	12	19	25	32	41	45	54
11	20	24	33	40	46	53	55
21	23	34	39	47	52	56	61
22	35	38	48	51	57	60	62
36	37	49	50	58	59	63	64

FIG. 8



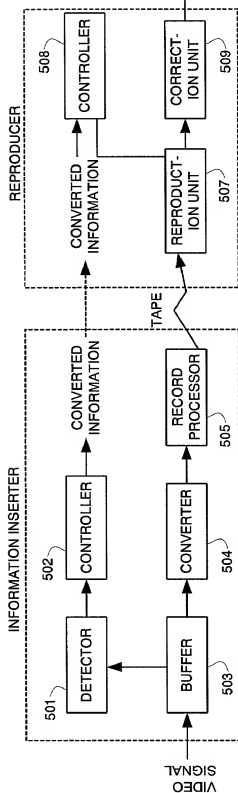
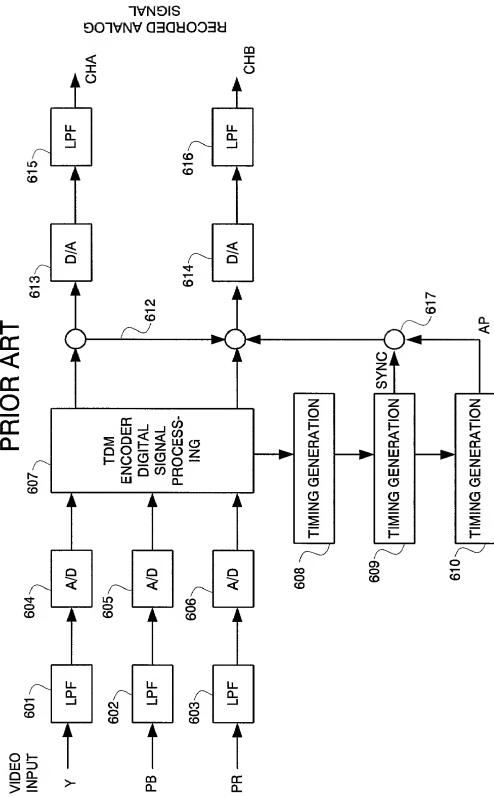
REPRODUCED VIDEO
SIGNALFIG. 9
PRIOR ART

FIG. 10
PRIOR ART



Docket No.

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND APPARATUS FOR INSERTING ELECTRONIC WATERMARK DATA

the specification of which

(check one)

☒ is attached hereto.

☐ was filed on _____ as United States Application No. or PCT International Application Number _____ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority ~~Not~~ Claimed

<u>11-284854</u>	<u>Japan</u>	<u>5/October/1999</u>	YES <input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u> </u>	<u> </u>	<u> </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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